Patent 001/017 (1-3) USA

PAGE 1 OF 2

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)) Group Art Unit: Not Yet Assigned
EVANS et al.) Examiner: Not Yet Assigned
Serial No.: 09/939,239)
Filed: August 24, 2001)
For: METHOD FOR CENTRAL VENOUS LINE CATHETER HAVING A TEMPERATURE CONTROL SYSTEM)

TRANSMITTAL FORM

Box Assignment Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed please find the following:

- Assignment of Rights, Title and Interest in Invention (Multiple inventors; single assignee)
 Recordation Form Cover Sheet Patents Only [Form PTO-1595]
 Declaration and Power of Attorney For Patent Application [Form PTO-SB-01]
- Return Postcard

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overpayment of fees to Deposit Account NoA duplicate copy of this sheet is enclosed.	501167
	Respectfully submitted,
Dated: 21 September 2001	By: Arlyn L. Alonzo Reg. No. 44,502
ALSIUS CORPORATION 15770 Laguna Canyon Road, Suite 150 Irvine, CA 92618 (949) 453-0150 ext 146	
CERTIFICATE OF EXPRESS MAILING	
I hereby certify that this document, together with any papers described as attached or enclosed, is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date shown below and is addressed to Box Assignment, United States Patent & Trademark Office, Assistant Commissioner for Patents, Washington, D.C. 20231.	
9/21/01	Kami Leonard
Date of Deposit	Name of Person Depositing Paper
EL599459442US	Signature of Person Depositing Paper
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The Commissioner is hereby authorized to charge any additional fees required and credit any



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

ATTORNEY DOCKET NUMBER

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

001/017 (1-3) USA

09/939,239

08/24/2001

Blair D. Walker

CONFIRMATION NO. 3291

ARLYN L. ALONZO Alsius Corporation Sr. Intellectual Property Coun. 15770 Laguna Canyon Rd., Suite 150 Irvine, CA 92618

COPY OF PAPERS ORIGINALLY FILED **FORMALITIES LETTER** OC000000006585978

Date Mailed: 09/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Sector #

Patent 001/017 (1-3) USA

JAN 29 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Application of:

Group Art Unit: 3762

WALKER ET AL.

Examiner: Not Yet Assigned

Serial No.: 09/939,239

Eiled: August 24 2001

Filed: August 24, 2001

For: METHOD FOR CENTRAL VENOUS LINE

CATHETER HAVING A TEMPERATURE

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RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated September 20, 2001 (copy enclosed), please note that Alsius filed the Declaration and Power of Attorney for Patent Application for the above-referenced application on September 21, 2001. Enclosed is a copy of that filing, along with a return postcard. (On the enclosed Transmittal Form dated 9/21/01, EVANS et al. is <u>mistakenly</u> listed on the first page. It should have been stated as WALKER et al., since Walker is the first named inventor and Evans is the second named inventor.).

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In the event that there are any questions concerning this Response, or the application in general, the Examiner is respectfully urged to telephone the undersigned.

The Commission is hereby authorized to charge and credit Deposit Account No. <u>501167</u> as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of \$65.00 as filing fee.

Credit any overpayment.

Charge any additional filing fees under 37 C.F.R. 1.16 and 1.17.

Respectfully submitted,

ated: 25 October 2001

By: __

Arlyn L. Alonzo Reg. No. 44,502

ALSIUS CORPORATION. 15770 Laguna Canyon Road, Suite 150 Irvine, CA 92618 (949) 453-0150 ext 146

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CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this document, together with any papers described as attached or enclosed, is being deposited with the United States Postal Service, "First Class Mailing" service on the date indicated below and is addressed to United States Patent and Trademark Office, Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below:

Name of Person Depositing Paper

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